

Electronic Acknowledgement Receipt

EFS ID:	3650346
Application Number:	10627873
International Application Number:	
Confirmation Number:	3618
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
First Named Inventor/Applicant Name:	Steven M.H. Wallman
Correspondence Address:	Bradley J. Meier KENYON & KENYON Suite #700 1500 K Street, N.W. Washington DC 20005 US -
Filer:	Shawn W. Odowd/Charisma Ward Brian Mudge
Filer Authorized By:	Shawn W. Odowd
Attorney Docket Number:	10392/460041
Receipt Date:	21-JUL-2008
Filing Date:	28-JUL-2003
Time Stamp:	16:44:25
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$930

RAM confirmation Number	2011
Deposit Account	110600
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		ResponsetoFinalOA.pdf	100654 a3d50d21a8d39a065ed425f3e1d1007a 963ea3d	yes	9
Multipart Description/PDF files in .zip description					
Document Description		Start		End	
Amendment After Final		1		1	
Claims		2		6	
Applicant Arguments/Remarks Made in an Amendment		7		9	

Warnings:

Information:

2	Request for Continued Examination (RCE)	RCE.pdf	738598 7d8b86916e904d732ce241ddaa0fb21c9 990dc1cc	no	3
---	---	---------	---	----	---

Warnings:

Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	8428 d79b3d462b4fb54c487ddca5e15e35d3 92b9222	no	2
---	------------------------	--------------	---	----	---

Warnings:

Information:

Total Files Size (in bytes):	847680
-------------------------------------	--------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.